PTO/SR/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to lection of information unless it displays a valid OMB control number. Application Number 10/662,765 TRANSMITTAL Filing Date September 15, 2003 **FORM** First Named Inventor David H. KIL Art Unit (to be used for all correspondence after initial filing) Not yet assigned Examiner Name Not yet assigned Attorney Docket Number ARC012010000 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Status Letter Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): -Form PTO/SB/08A--3 pages Request for Refund **Express Abandonment Request** --Form PTO/SB/08B--2 page --61 references + Return postcard CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Rimas T. Lukas

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Reg. No. 46.451

June 29, 2004

Individual name Signature Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

David H. KIL

Serial No.: 10/662,765

Filing Date: September 14, 2003

For: INTERACTIVE AND AUTOMATED

TISSUE IMAGE ANALYSIS WITH GLOBAL TRAINING DATABASE AND

VARIABLE-ABSTRACTION PROCESSING IN CYTOLOGICAL SPECIMEN CLASSIFICATION AND

LASER CAPTURE

MICRODISSECTION APPLICATIONS

Examiner: Not yet assigned

Group Art Unit: Not yet assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box, 1450 Alexandria, VA 22313-1450

Dear Office:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

	This In	formation Disclosure Statement is submitted:						
	With	the application; accordingly, no fee or separate requirements are required.						
\boxtimes	With	Within three months of the application filing date or before mailing of a first Office						
	Actio	on on the merits; accordingly, no fee or separate requirements are required.						
	After	receipt of a first Office Action on the merits but before mailing of a final Office						
	Actio	on or Notice of Allowance.						
		A fee is required. A check in the amount of * is enclosed.						
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached						
		to this submission in duplicate.						
		A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee						
		is believed to be due.						
	After	mailing of a final Office Action or Notice of Allowance, but before payment of the						
	issue	fee.						
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the						
		amount of * is enclosed.						
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal						
		form (PTO/SB/17 is attached to this submission in duplicate.						

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time.

Dated: June 29, 2004

Respectfully submitted,

Rimas T. Lukas

Registration No. 46,451

Lukas IP Group P.O. Box 3295

Half Moon Bay, CA, 94019 Telephone: (650) 560-0076 Facsimile: (650) 897-9944

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Complete if Known

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Application Number

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

bstitute for form 1449/PTO

Sheet 1

10/662,765 Filing Date September 15, 2003 First Named Inventor David H. KIL Art Unit Not yet assigned

(Use as many sheets as necessary)

Examiner Name Not yet assigned Attorney Docket Number | ARC012010000

Examiner	Cite No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.	Number-Kind Code ^{2 (f known)} MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	1	^{US-} 5,037,207	08-06-1991	Tomei et al.	
	2	^{US-} 5,257,182	10-26-1993	Luck et al.	
	3	US- 5,287,272	02-15-1994	Rutenberg et al.	
	4	^{US-} 5,403,735	04-04-1995	Maruhashi et al.	
	5	^{US-} 5,465,375	11-07-1995	Thepaut et al.	
	6	^{US-} 5,487,117	01-23-1996	Burges et al.	
	7	^{US-} 5,497,430	03-05-1996	Sadovnik et al.	
	8	^{US-} 5,625,705	04-29-1997	Recht	
	9	^{US-} 5,715,327	02-03-1998	Wilhelm et al.	
	10	^{US-} 5,734,735	03-31-1998	Coleman, Jr.	
	11	^{US-} 5,740,269	04-14-1998	Oh et al.	
	12	^{US-} 5,740,270	04-14-1998	Rutenberg et al.	
	13	^{US-} 5,745,601	04-28-1998	Lee et al.	
	· 14	^{US-} 5,767,923	06-16-1998	Coleman, Jr.	
	15	^{US-} 5,774,357	06-30-1998	Hoffberg et al.	
	16	^{US-} 5,778,108	07-07-1998	Coleman, Jr.	
	17	^{US-} 5,787,188	07-28-1998	Nelson et al.	
	18	^{US-} 5,859,699	01-12-1999	Baer et al.	
	19	^{US-} 5,867,610	02-02-1999	Lee	

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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Sub	stitute for form 1449/PTO	Complete if Known			
		Application Number	10/662,765		
IN	FORMATION DISCLOSURE	Filing Date	September 15, 2003		
		First Named Inventor	David H. KIL		
5	TATEMENT BY APPLICANT	Art Unit	Not yet assigned		
	(Use as many sheets as necessary)	Examiner Name	Not yet assigned		
Sheet	2 of 5	Attorney Docket Number	ARC012010000		

				DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan
		Number-Kind Code ² (# known)			Figures Appear
	20	^{US-} 5,870,493	02-09-1999	Vogl et al.	
	21	^{US-} 5,875,108	02-23-1999	Hffberg et al.	
	22	^{US-} 5,889,880	03-30-1999	Doerrer et al.	
	23	^{US-} 5,920,360	07-06-1999	Coleman, Jr.	
	24	^{US-} 5,920,477	07-06-1999	Hoffberg et al.	
	25	^{US-} 5,939,278	08-17-1999	Boon et al.	
•	26	^{US-} 5,959,697	09-28-1999	Coleman, Jr.	
	27	^{US-} 5,978,497	11-02-1999	Lee et al.	
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	29	^{US-} 5,987,158	11-16-1999	Meyer et al.	
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	33	^{US-} 6,134,354	10-17-2000	Lee et al.	
	34	^{US-} 6,146,897	11-14-2000	Cohenford et al.	
	35	^{US-} 6,148,099	11-14-2000	Lee et al.	
	36	^{US-} 6,157,446	12-05-2000	Baer et al.	
	37	^{US-} 6,181.811	01-30-2001	Kuan et al	
	38	^{US-} 6,215,550	03-10-2001	Baer et al.	

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Cite Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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Substitute for form 1449/PTO				Complete if Known			
		Application Number	10/662,765				
IN	FORMATION	ום ו	SCI OSLIDE	Filing Date	September 15, 2003		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				First Named Inventor	David H. KIL		
				Art Unit	Not yet assigned		
(Use as many sheets as necessary)			necessary)	Examiner Name	Not yet assigned		
Sheet	3	of	5	Attorney Docket Number	ARC012010000		

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	39	^{US-} 6,215,892	03-10-2001	Douglass et al.	
	40	^{US-} 6,240,209	05-29-2001	Wilcke	
	41	^{US-} 6,259,807	07-10-2001	Ravkin	
	42	^{US-} 6,327,377	12-04-2001	Rutenberg et al.	
	43	^{US-} 6,337,926	01-08-2002	Takahashi et al.	
	44	^{US-} 6,469,779	10-22-2002	Baer et al.	
	45	^{US-} 6,495,195	12-17-2002	Baer et al.	
	46	^{US-} 6,512,576	01-28-2003	Baer et al.	
	47	^{US-} 6,569,639	05-27-2003	Liotta et al.	
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Examiner Initials*	Cite No.1	te Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	۲
	48	WO 01/33190 A2	05-10-2001			
	49	WO 02/37159 A2	05-10-2002			
	50	EP 0 748 439 B1	07-21-1999			

Examiner	Date	
Signature	Considered	

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Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for for 644344 Complete if Known **Application Number** 10/662,765 Filing Date INFORMATION DISCLOSURE September 15, 2003 STATEMENT BY APPLICANT **First Named Inventor** David H. KIL Art Unit Not yet assigned (Use as many sheets as necessary) **Examiner Name** Not yet assigned Sheet Attorney Docket Number 5 ARC012010000 of

		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of		
	49	Anonymous "ChromaVision" website product description including Rare Cell Detection in Tissue and Rare Cell Detection in Cytospin Prep – retrieval date 6/21/04		
	50	Pizzi, A. "Diagnostic Cytology Learning Page" http://www-ocs.colorado.edu/-metzj/pizzia/learning_page.html Written February 4, 1997, Last updated August 4, 1998		
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	53	Morruzzi, J.F. et al. "Quantification and classification of human sperm morphology by computer assisted image analysis" - Fertil. Steril. Volume 50 , Issue 1 pp 142-152, July 1988		
	54	Perez-Sanchez, F. "Morphometric Analysis of human sperm morphology" Int J Androl Volume 17, Issue 5 pp 248-255, Oct 1994		
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	56	Grohs, H.K. and Husain, O.A.N. Eds.: "Automated Cervical Cancer Screening" Publisher: :lgaku-Shoin Medical Publishers, Chapter 23 pp 305-317, 1994.		
	57	Jarkrans, T. "Algorithms for Cell Image Analysis in Cytology and Pathology", Comprehensive Summaries of Uppsala Dissertations, 1996.		
_	58	Salomie, A. et al. (2001). "Multivariate Techniques for Medical Image Segmentation," http://www.etro.vub.ac.be/members/deklerck.rudi/redimedia/segmentation/segment.htm		

Examiner	Date	
Signature	Considered	

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Substitute for form 1449/PTO				Complete if Known			
				Application Number	10/662,765		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Filing Date	September 15, 2003		
				First Named Inventor	David H. KIL Not yet assigned		
				Art Unit			
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Sheet	5	of	5	Attorney Docket Number	ARC012010000		

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS	
Initials*	No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	59	Schachter, B. J. et al. (1979). "Some Experiments in Image Segmentation by Clustering of Local Feature Values", Pattern Recognition, Pergamon Press Inc., Elmsford, NY, US vol	
	60	Jong-Min Park et al., (May 2002) "Analysis of active feature selection in optic nerve data using labeled fuzzy C-means clustering", 2002 IEEE World Congress on Computational Intelligence. 2002 IEEE International Conference on Fuzzy Systems, pgs. 1580-1585 vol. 2, 2002, Piscataway, NJ, USA, IEEE, ISBN: 0-7803-7280-8.	
	61	Frosini, G. et al, (July 2000). "A modified fuzzy C-means algorithm for feature selection", Peachfuzz 2000, 19th International Conference of the North American Fuzzy Information Processing Society, pgs. 148-152, 2000, Piscataway, NJ, USA, IEEE, ISBN: 0-7803-6274-8.	
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Examiner	Date	
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